

On images, preimages and different notions related to APN mappings

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June 11, 2026



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Definition

Function $F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^m}$ is called *almost perfect nonlinear* (APN), if $\delta_F = 2$, i.e. if equation $F(x) + F(x + a) = b$ has at most 2 solutions, $\forall a, b \in \mathbb{F}_{2^m}$, $a \neq 0$.

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$$F(x_1) + F(x_3) \neq F(x_2) + F(x_4) \quad \Leftrightarrow \quad F(x_1) + F(x_1 + a) \neq F(x_2) + F(x_2 + a) \quad \Leftrightarrow \quad F(x) + F(x + a) \text{ has at most 2 solutions}$$

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Flats and their images

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Fact: The number of vanishing flats of F can be used as a measure of how close F is to an APN function. [5]

Two generalizations of almost perfect nonlinearity

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k -strongly non-normal [2]

F is called *k -strongly non-normal* if, for every k -flat $L \subseteq \mathbb{F}_{2^n}$, the restriction $F|_L : L \rightarrow \mathbb{F}_{2^m}$ is not affine.

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Fact: An APN function $F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$ is k -strongly non-normal for every $2 \leq k \leq n$. [2]

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Theorem

Let $F: \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$ be an APN function, and let $\mathcal{P} := \{F^{-1}(a) \mid a \in \mathbb{F}_{2^n}, |F^{-1}(a)| \geq 3\}$. If $\mathcal{P} \neq \emptyset$, then the number of unbroken 2-dimensional flats is

$$|\mathcal{UF}_{n,F}| = \sum_{P \in \mathcal{P}} \binom{|P|}{3}.$$

Otherwise, $|\mathcal{UF}_{n,F}| = 0$. In particular, if F is almost k -to-1, with $k \geq 3$, then

$$|\mathcal{UF}_{n,F}| = \frac{\binom{k}{3}(2^n - 1)}{k} = \frac{(2^n - 1)(k - 1)(k - 2)}{6}.$$

Proof sketch :)

Setup and definition: Let $F: \mathbb{F}_{2n} \rightarrow \mathbb{F}_{2n}$ be an APN function. Let $L = \{x_1, x_2, x_3, x_4\}$ be a 2-dimensional flat.
Define $\mathcal{P} = \{F^{-1}[a] \mid a \in \mathbb{F}_{2n}, |F^{-1}[a]| \geq 3\}$ (fibers of F with size at least 3).

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 $L = \{x_1, x_2, x_3, x_4\}$?

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Thus, the only possible sizes for $|F(L)|$ are 2, 3, or 4.

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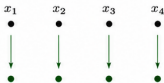
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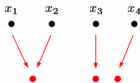
Case A: $|F(L)| = 4$ (one-to-one)



The four images are all distinct.

→ L is broken (APN).

Case B: $|F(L)| = 3$



Exactly three distinct images.

→ L is broken.

Case C: $|F(L)| = 2$ (almost 3-to-one)



Three points map to a , one point to $b \neq a$.

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(maps to a 1-dimensional flat $\{a, b\}$).

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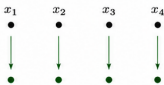
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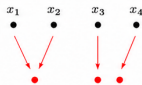
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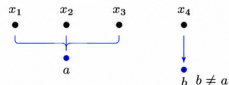
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2. When is L unbroken?

From the cases above:

L is unbroken if and only if $F|_L$ is almost 3-to-one (Case C).

Equivalently, L must contain 3 points from the same fiber $F^{-1}[a]$ and another point $b \neq a$.

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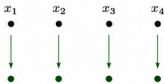
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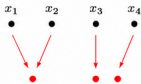
Case A: $|F(L)| = 4$ (one-to-one)



The four images are all distinct.

$\rightarrow L$ is broken (APN).

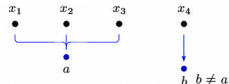
Case B: $|F(L)| = 3$



Exactly three distinct images.

$\rightarrow L$ is broken.

Case C: $|F(L)| = 2$ (almost 3-to-one)



Three points map to a , one point to $b \neq a$.

$\rightarrow L$ is unbroken
(maps to a 1-dimensional flat $\{a, b\}$).

2. When is L unbroken?

From the cases above:

L is unbroken if and only if $F|_L$ is almost 3-to-one (Case C).

Equivalently, L must contain 3 points from the same fiber $F^{-1}[a]$ and another point $b \neq a$.

3. How many unbroken flats come from a fixed fiber?

Fix $a \in \mathbb{F}_{2^n}$ and let $P = F^{-1}[a]$ with $|P| = m \geq 3$.

Any 3 distinct points in P determine a unique 2-dimensional flat.

Proof sketch :)

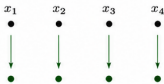
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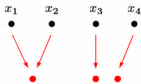
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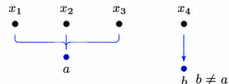
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$$= \binom{m}{3}$$

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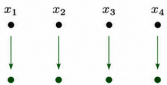
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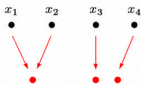
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4. Total number of unbroken 2-dimensional flats

- If $\mathcal{P} = \{F^{-1}[a] \mid |F^{-1}[a]| \geq 3\} \neq \emptyset$, then

$$|UB_{n,F}| = \sum_{P \in \mathcal{P}} \binom{|P|}{3}.$$

- If $\mathcal{P} = \emptyset$ (i.e., every fiber has size ≤ 2),

$$|UB_{n,F}| = 0.$$

Proof sketch :)

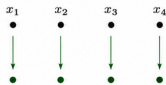
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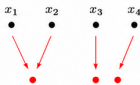
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5. Almost k -to-one case

If F is almost k -to-one (every fiber has size $k \geq 3$), then there are $\frac{2^n - 1}{k}$ fibers (since $k \mid (2^n - 1)$).

$$|UB_{n,F}| = \frac{2^n - 1}{k} \cdot \binom{k}{3} = \frac{(2^n - 1)(k - 1)(k - 2)}{6}$$

Example

Gold function: $F : \mathbb{F}_{2^6} \rightarrow \mathbb{F}_{2^6}, F(x) = x^3.$

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$\{(0, 0, 0, 0, 1, 1), (0, 1, 0, 0, 0, 1), (0, 1, 0, 0, 1, 0)\}$	$(0, 0, 1, 1, 1, 1)$
$\{(0, 0, 0, 1, 0, 0), (1, 1, 1, 0, 0, 0), (1, 1, 1, 1, 0, 0)\}$	$(0, 1, 1, 0, 1, 1)$
$\{(0, 0, 0, 1, 0, 1), (1, 1, 0, 0, 1, 1), (1, 1, 0, 1, 1, 0)\}$	$(0, 0, 1, 1, 1, 0)$
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$$\mathcal{UF}_{6,F} = \{\{38, 0, 24, 62\}, \{21, 46, 0, 59\}, \{29, 0, 16, 13\}, \\ \{40, 0, 49, 25\}, \{51, 0, 54, 5\}, \{27, 47, 0, 52\}, \\ \{19, 0, 31, 12\}, \{55, 0, 61, 10\}, \{35, 8, 0, 43\}, \\ \{23, 0, 39, 48\}, \{22, 41, 63, 0\}, \{50, 57, 0, 11\}, \\ \{14, 15, 0, 1\}, \{30, 0, 2, 28\}, \{3, 0, 18, 17\}, \\ \{37, 0, 9, 44\}, \{56, 60, 0, 4\}, \{0, 33, 53, 20\}, \\ \{0, 32, 58, 26\}, \{7, 0, 42, 45\}, \{36, 0, 6, 34\}\}.$$

Flat $\{x_1, x_2, x_3, x_4\}$ is represented by the integer labels of its elements in $\mathbb{F}_{2^6}$.

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$\{(0, 0, 1, 0, 1, 0), (1, 1, 0, 1, 1, 1), (1, 1, 1, 1, 0, 1)\}$	$(1, 0, 1, 0, 1, 1)$
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F is almost 3-to-1

$$|\mathcal{UF}_{6,F}| = \frac{\binom{3}{3}(2^6-1)}{3} = 21.$$

$$\begin{aligned} \mathcal{UF}_{6,F} = \{ & \{38, 0, 24, 62\}, \{21, 46, 0, 59\}, \{29, 0, 16, 13\}, \\ & \{40, 0, 49, 25\}, \{51, 0, 54, 5\}, \{27, 47, 0, 52\}, \\ & \{19, 0, 31, 12\}, \{55, 0, 61, 10\}, \{35, 8, 0, 43\}, \\ & \{23, 0, 39, 48\}, \{22, 41, 63, 0\}, \{50, 57, 0, 11\}, \\ & \{14, 15, 0, 1\}, \{30, 0, 2, 28\}, \{3, 0, 18, 17\}, \\ & \{37, 0, 9, 44\}, \{56, 60, 0, 4\}, \{0, 33, 53, 20\}, \\ & \{0, 32, 58, 26\}, \{7, 0, 42, 45\}, \{36, 0, 6, 34\} \}. \end{aligned}$$

Flat $\{x_1, x_2, x_3, x_4\}$ is represented by the integer labels of its elements in $\mathbb{F}_{2^6}$.

What is the maximal dimension of an unbroken flat, given an APN function?

Some computational observations

Table: Representatives of CCZ classes of APN functions for $n = 6$ with $\deg(F) \leq 3$. α is a root of the primitive polynomial $x^6 + x^4 + x^3 + x + 1 \in \mathbb{F}_2[x]$.

F	Univariate representation of F
D_1	x^3
D_2	$x^3 + \alpha^{11}x^6 + \alpha x^9$
D_3	$\alpha x^5 + x^9 + \alpha^4 x^{17} + \alpha x^{18} + \alpha^4 x^{20} + \alpha x^{24} + \alpha^4 x^{34} + \alpha x^{40}$
D_4	$\alpha^7 x^3 + x^5 + \alpha^3 x^9 + \alpha^4 x^{10} + x^{17} + \alpha^6 x^{18}$
D_5	$x^3 + \alpha x^{24} + x^{10}$
D_6	$x^3 + \alpha^{17}(x^{17} + x^{18} + x^{20} + x^{24})$
D_7	$x^3 + \alpha^{11}x^5 + \alpha^{13}x^9 + x^{17} + \alpha^{11}x^{33} + x^{48}$
D_8	$\alpha^{25}x^5 + x^9 + \alpha^{38}x^{12} + \alpha^{25}x^{18} + \alpha^{25}x^{36}$
D_9	$\alpha^{40}x^5 + \alpha^{10}x^6 + \alpha^{62}x^{20} + \alpha^{35}x^{33} + \alpha^{15}x^{34} + \alpha^{29}x^{48}$
D_{10}	$\alpha^{34}x^6 + \alpha^{52}x^9 + \alpha^{48}x^{12} + \alpha^6 x^{20} + \alpha^9 x^{33} + \alpha^{23}x^{34} + \alpha^{25}x^{40}$
D_{11}	$x^9 + \alpha^4(x^{10} + x^{18}) + \alpha^9(x^{12} + x^{20} + x^{40})$
D_{12}	$\alpha^{52}x^3 + \alpha^{47}x^5 + \alpha x^6 + \alpha^9 x^9 + \alpha^{44}x^{12} + \alpha^{47}x^{33} + \alpha^{10}x^{34} + \alpha^{33}x^{40}$
D_{13}	$\alpha(x^6 + x^{10} + x^{24} + x^{33}) + x^9 + \alpha^4 x^{17}$
D. APN Perm.	long univariate representation
EP	$x^3 + \alpha^{17}(x^{17} + x^{18} + x^{20} + x^{24}) + \alpha^{14}(\alpha^{18}x^9 + \alpha^{36}x^{18} + \alpha^9 x^{36} + x^{21} + x^{42} + \text{Tr}(\alpha^{27}x + \alpha^{52}x^3 + \alpha^6 x^5 + \alpha^{19}x^7 + \alpha^{28}x^{11} + \alpha^2 x^{13}))$

Unbroken 2-flats

Table: Number of unbroken and strongly unbroken 2-flats of APN functions in 6 variables.

F	# unbroken 2-flats	# strongly unbroken 2-flats
D_1	21	0
D_2	21	0
D_3	9	13
D_4	8	13
D_5	7	21
D_6	18	9
D_7	18	15
D_8	4	9
D_9	15	17
D_{10}	8	13
D_{11}	6	13
D_{12}	7	14
D_{13}	8	11
D. APN Perm.	0	0
EP	25	21

Unbroken 3-flats

Table: Number of unbroken and strongly unbroken 3-flats of APN functions in 6 variables.

F	# unbroken 3-flats	# strongly unbroken 3-flats
D_1	9	3285
D_2	0	3285
D_3	0	7779
D_4	1	7721
D_5	23	6561
D_6	1	7332
D_7	1	7073
D_8	0	7617
D_9	10	6640
D_{10}	1	7711
D_{11}	1	7809
D_{12}	1	7586
D_{13}	0	7502
D. APN Perm.	0	184
EP	0	4336

Unbroken 4-flats

Table: Number of unbroken and strongly unbroken 4-flats of APN functions in 6 variables.

F	# unbroken 4-flats	# strongly unbroken 4-flats
D_1	0	714
D_2	0	714
D_3	0	546
D_4	0	549
D_5	0	203
D_6	0	497
D_7	0	454
D_8	0	473
D_9	0	329
D_{10}	0	531
D_{11}	0	559
D_{12}	0	494
D_{13}	0	499
D. APN Perm.	0	812
EP	0	391

Unbroken 4-flats - there's none :)

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4. Preimage sets of certain APN mappings

More notation and basic notions

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Idea: Estimate the number of broken and unbroken 2-flats.

Lower bound on vectorial nonlinearity

Unbroken 2-flats: $\mathcal{UF}_{n,F} = \{L \in \mathcal{F}_{2,n} : F(L) \text{ is a flat}\}.$

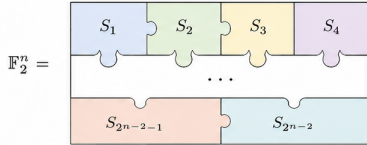
Theorem

Let $F : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$ and let $|\mathcal{UF}_{n,F}| = w$. Then

$$\mathcal{NL}_V(F) \geq 2^{n-2} - w.$$

Proof sketch :)

Idea: Decompose $\mathbb{F}_2^n$ into 2-dimensional flats S_i

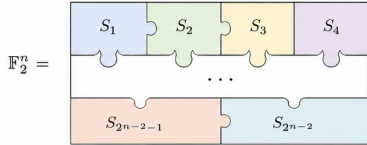


- Partition $\mathbb{F}_2^n$ into 2^{n-2} disjoint 2-dimensional affine subspaces (flats) $S_1, S_2, \dots, S_{2^{n-2}}$.

Think of F as a puzzle made of small pieces: its restrictions $F|_{S_i}$.

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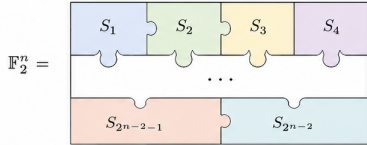
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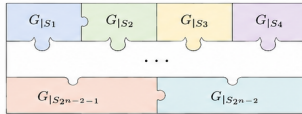
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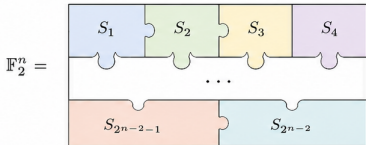


The restriction of G to each flat S_i is also affine.

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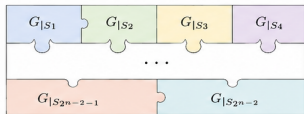
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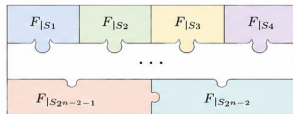
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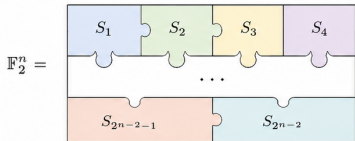


F is completely determined by its restrictions $F|_{S_i}$.

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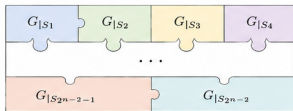
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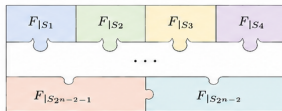
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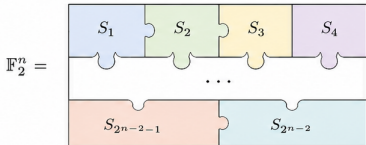
$$d_H(F, G) = \sum_{x \in \mathbb{F}_2^n} \mathbf{1}_{\{F(x) \neq G(x)\}} = \sum_{i=1}^{2^{n-2}} \underbrace{\sum_{x \in S_i} \mathbf{1}_{\{F(x) \neq G(x)\}}}_{S_i \text{ are disjoint}} = \sum_{i=1}^{2^{n-2}} d_H(F|_{S_i}, G|_{S_i}).$$

Therefore,

$$\begin{aligned} \mathcal{NL}_V(F) &= \min_{G \in \mathcal{A}_{n,n}} d_H(F, G) \\ &= \min_{G \in \mathcal{A}_{n,n}} \sum_{i=1}^{2^{n-2}} d_H(F|_{S_i}, G|_{S_i}). \end{aligned}$$

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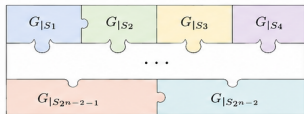
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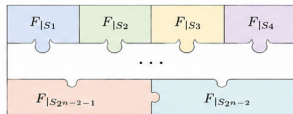
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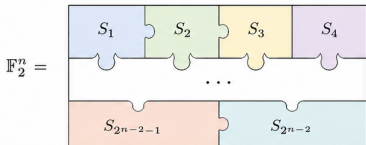
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→ If $F|_{S_i}$ is affine, we can choose $G|_{S_i} = F|_{S_i}$ and get $d_H(F|_{S_i}, G|_{S_i}) = 0$.

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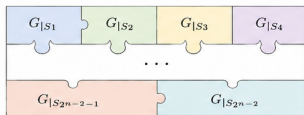
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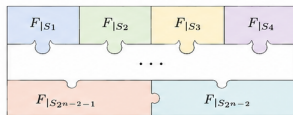
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Conclusion

If $|\mathcal{U}_{2,F}| = w$ (i.e., w unbroken flats), then at least $2^{n-2} - w$ flats are broken, so $\mathcal{NL}_V(F) \geq 2^{n-2} - w$.

Lower bound on vectorial nonlinearity

Unbroken 2-flats: $\mathcal{UF}_{n,F} = \{L \in \mathcal{F}_{2,n} : F(L) \text{ is a flat}\}.$

Theorem

Let $F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$ and let $|\mathcal{UF}_{n,F}| = w$. Then

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Corollary

Let $F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$ be the inverse function defined by $F(x) = x^{-1}$, where n is odd. Then F breaks all 2-flats [3]. Hence

$$\mathcal{NL}_V(F) \geq 2^{n-2}.$$

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$$(\lambda_{L,i} = \#\{\text{points in } \text{Im}(F) \text{ with exactly } i \text{ preimages in } L\})$$

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$$\lambda_{L,i} = |\{b \in \mathbb{F}_{2^m} : |F^{-1}(b) \cap L| = i\}|, \quad \text{for } 0 \leq i \leq 2^k.$$

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These numbers satisfy

$$\sum_{i=1}^{2^k} i \lambda_{L,i} = 2^k, \quad \text{and} \quad \sum_{i=1}^{2^k} \lambda_{L,i} = 2^r.$$

Preimage investigation

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Restrictions on preimages

Proposition

Let $F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$ satisfy $|F^{-1}(y)| \leq 3$ for all $y \in \mathbb{F}_{2^n}$. If L is a k -flat and $F(L)$ is an r -flat with $r \geq 2$, then

$$(\lambda_{L,1}, \lambda_{L,2}, \lambda_{L,3}) \equiv (0, 0, 0), (2, 0, 2), (1, 2, 1), (-1, 2, -1) \pmod{4}.$$

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Application to 2-to-1 functions

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Conclusion: An unbroken k -flat can only have as its image a $(k-1)$ -flat or a k -flat.

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$F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$ an APN permutation

Observation from the airport: $\phi_F : \mathcal{F}_{2,n} \rightarrow \mathbb{F}_{2^n}$, $\phi_F(\{x_1, x_2, x_3, x_4\}) = \sum_{i=1}^4 F(x_i)$

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$$\mathbb{F}_{2^n}^* = V_{56} \cup V_7, \quad \forall c \in V_{56} : |\phi_F^{-1}(c)| = 160 \text{ and } \forall c \in V_7 : |\phi_F^{-1}(c)| = 208$$

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- Develop observations from the airport.

Thank you! :)
Questions?

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